

INTRODUCTION

The isolation of coumarin was first reported by Vogel¹ in Munich in 1820. He associated the pleasant odour of the tonka bean from Guiana with that of clover. The name coumarin originates² from a caribbean word 'coumarou' for the tonka tree, which was known botanically at one time as *coumarouna odorata* Aubl. Coumarin is now the accepted trivial name for the compound whose structure (1) was finally deduced some half a century later and the parent name for the group of naturally occurring lactones which possess its skeleton as a fundamental structural unit.

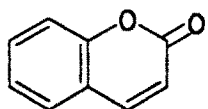
Benzo- α -pyrones, generally known as coumarins are found to be widely distributed in nature^{3,4} either in the free state or in the combined state. They are found in entire plant. They are found in plants with four major families, the umbelliferae (e.g. Parsley, Parsnip, Celery, Ammi majus, Angelica archangelich), Rutaceae (e.g. Bergamot fruit, Lime, Cloves, Common rue), Leguminosae (*Psoralea corylifolia*, *Xanthoxylum*) and Moraceae (e.g. *Ficus Carica*).⁵ Coumarins have been reported neither in algae nor in mosses however there are few reports of coumarins in bacteria and fungi.

W.H. Perkin Snr, in his famous first synthesis of a vegetable perfume, treated the sodium salt of ortho-hydroxy benzaldehyde with acetic anhydride and obtained synthetic coumarin identical with that isolated from the tonka bean. The structure Perkin suggested in 1868, however was incorrect, but Fittig in 1868, Strecker in 1868 and Tiemann and Herzfeld in 1877 independently arrived at the now universally accepted lactone formula.

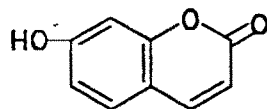
Some of the important naturally occurring coumarins are Umbelliferone (2), Daphnetin (3), Scopoletin (4), Aesculetin (5), Fraxetin (6) and Ayapin (7).

Another group of interesting naturally occurring coumarin derivatives are the furocoumarins. Psoralene (8), Angelicin (9), Bergapten (10), Xanthotoxin (11), Pimpinellin (12) and Isopimpinellin (13) are few members of this group.

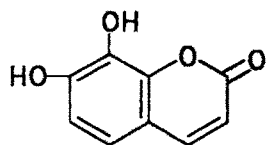
Among so many heterocycles, coumarin and its derivatives have attracted considerable interest because of their various physiological and biochemical properties.



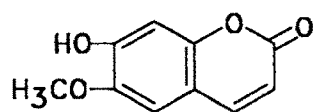
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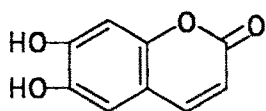
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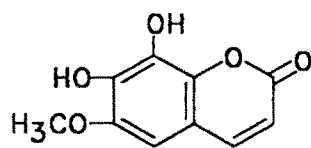
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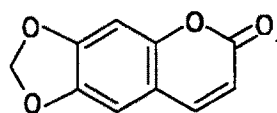
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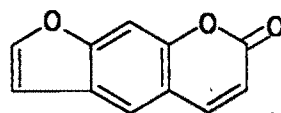
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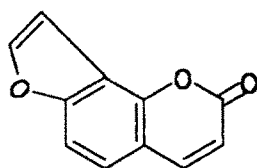
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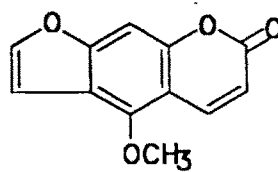
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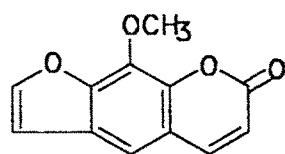
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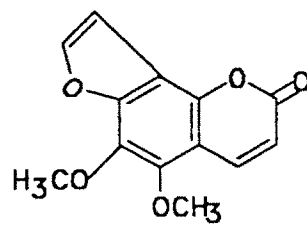
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Many naturally occurring coumarins affect the living cell of plants and animals in various way. Bose⁶ has reviewed the biochemical properties of natural coumarins. Our knowledge of the biological activities of the simple coumarins dates back for several decades reflecting the long period for which the existence of these compounds has been recognized. In fact, the toxicity of coumarin to green algae was noted by Kelbs⁷ before the end of the nineteenth century.

Coumarin itself inhibits the germination and subsequent root growth of plants. Sigmund⁸ noted the effects of both daphnetin and its isomer aesculetin on seed germination. It has since been shown that a number of unsaturated lactones, including coumarin, possess what is called the 'blastocholine' effect, i.e. the property to suppress the germination at the low concentration on seeds⁹ as well as on animals.¹⁰

There is also a good probability that coumarins act as growth regulators in a number of plants.¹¹

Coumarins have interesting cytogenetic properties¹² Cytohistological and macroscopical effects of coumarin and its derivatives have been studied by Quercioli.¹³

Coumarin acts as a narcotic for some animals and as a sedative and hypnotic for mice.¹⁴ Fraxin causes paralysis of the central nervous system of frogs and mice on intravenous injections¹⁵ and it has been found to be superior to atophan in the treatment of gout¹⁶ Dicoumarol (14) is an effective rodenticide. Antimicrobial action has also been reported for dicoumarol (14) against a variety of bacteria¹⁷⁻²¹

Link et al²² discovered that the haemorrhagic principle of the spoiled sweet clover was 3,3'-methylene-bis-(4-hydroxycoumarin), also known as dicoumarol (14). This has led to the preparation and testing of several 4-hydroxycoumarin derivatives as anticoagulant drugs and a number of very effective drugs of this group, such as Warfarin, Tromaxan, Coumachlor and Marcoumar are on the market. Later Arora & Mathur²³ found that weak anticoagulant activity was shown by 3-and 4-phenylcoumarins and marked activity by one of the latter. They suggested that molecular shape, 8-substitution, ionizing ability and presence of methoxyl function all probably govern anticoagulant activity.

It is interesting to note that some simple coumarins have the opposite effect. Mavingrin and Ayapin (4) have been found to possess remarkable haemostatic property and are active both in vitro and vivo.²⁴

Novobiocin²⁵ (15) an antibiotic, isolated from *Streptomyces* sp., has been found to be a coumarin derivative. The antibacterial spectrum of this antibiotic corresponds generally with that of penicillin and erythromycin, but in vitro is less potent than penicillin and erythromycin.

In the investigation of the mode of novobiocin (15) action, a number of publications suggested that it might exert an effect on nucleic acid metabolism. Smith et al²⁶ who first described this antibiotic, noted that it inhibited cell division. Brock²⁷ observed a decrease in DNA synthesis in partially inhibited cultures of *E. coli* and *S. aureus*.

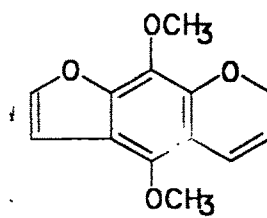
The inhibition of nucleic acid synthesis in *E. coli* was also confirmed by Smith and Daris.^{28,29} & in *S. aureus* by Winshnow et al.³⁰ Higgins et al^{31,32} have found that novobiocin (15) and coumarmycin A₁ (16) inhibit DNA gyrase by preventing the binding of ATP to the enzyme, interacting competitively in both the supercoiling and ATPase reactions.

Drlica and Snyder³³ found reduced superhelical densities in folded chromosomes from *E. coli* strains treated in vivo with coumarmycin A₁ (16) and the loss of DNA supercoiling paralleled the inhibition of DNA synthesis. Their results indicated that the observed relaxation of supercoiling is due to the inhibition of DNA gyrase.

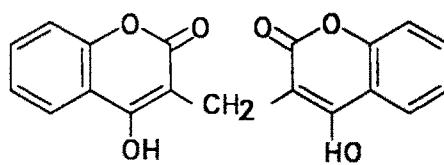
Tuberculostatic activity³⁴ is exhibited by pimpinellin (12) and isopimpinellin (13).

Kawaguchi and coworkers³⁵ have obtained a new coumarin derivatives, an antibiotic coumarmycin A₁ (16), from filtrate (pH-5) residue of the fermentation beers of *Streptomyces resshiviensis* (17). It inhibits the growth of gram positive, gram negative and acid fast bacteria and against staphylococci. It is about 30 times more potent than novobiocin (15).

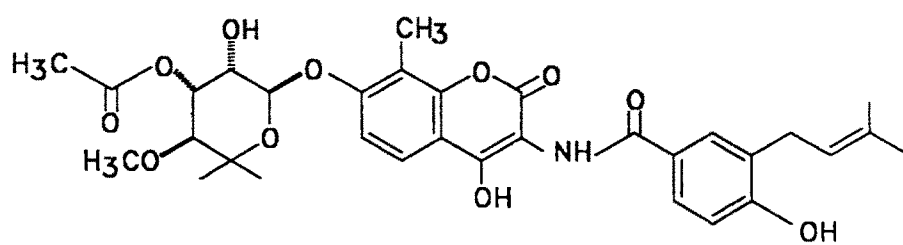
Recently some coumarin derivatives are found to have important pharmacological activities, (18) is active against mycobacterium tuberculosis (19), a fungicidal agent, (20) and insecticidal agent, (21) an active vasodilating agent and (22) inhibits aggregation of thrombocytes.



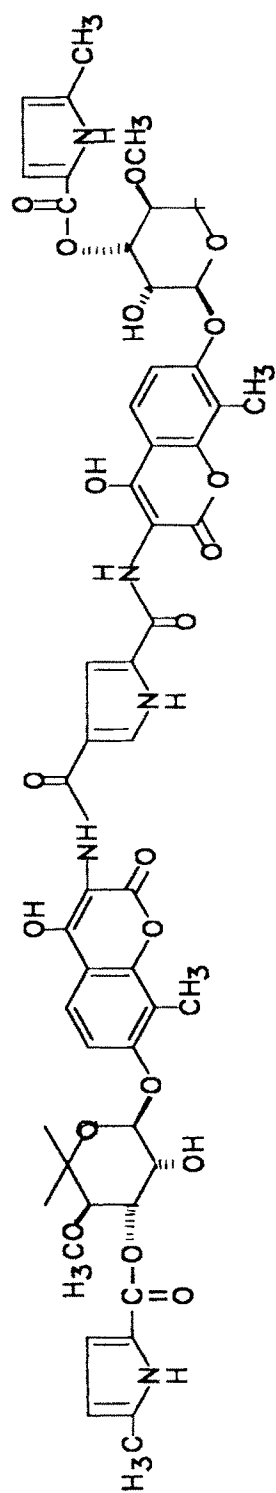
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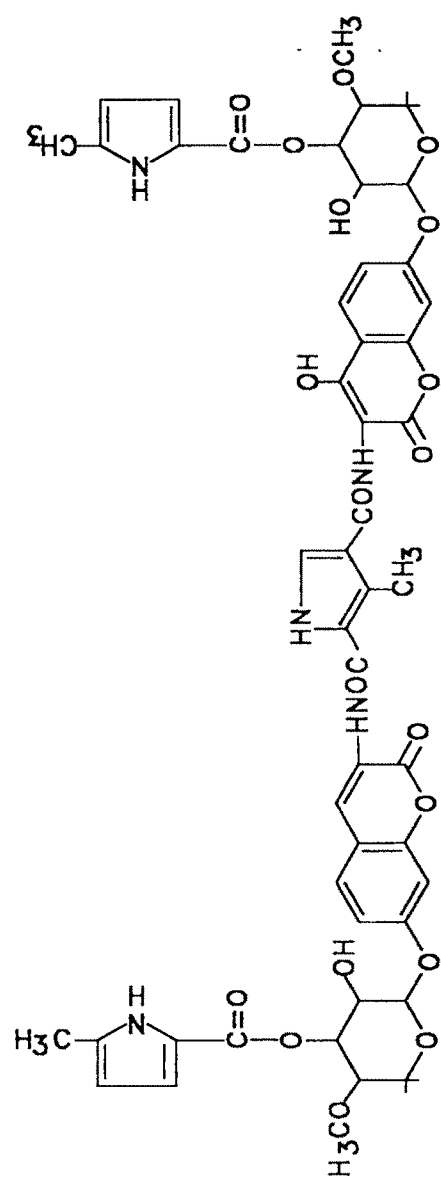
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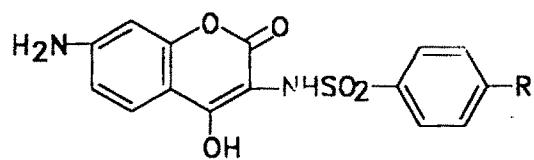
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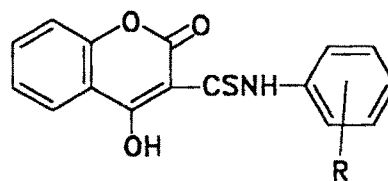
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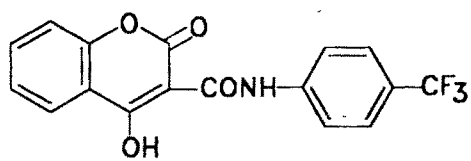
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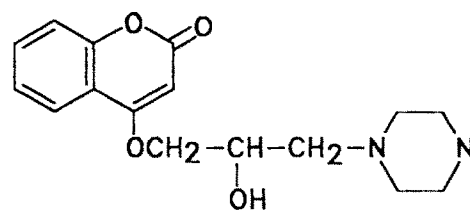
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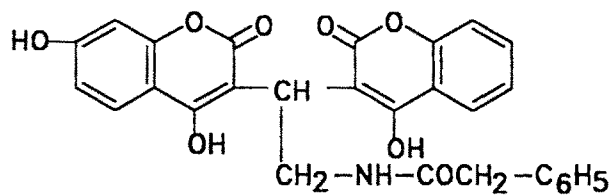
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Buu-Hoi and coworkers³⁶ prepared a series of hydroxylated-3-aryl coumarins as potential carcinostatic and virusytatic agents. Lednicer et al³⁷ also suggested that 3,4-diaryl derivatives are more active than 3-aryl coumarins. Elderfield and Ray³⁸ have synthesised nitrogen mustards from 6-substituted coumarins as potential anticancer agents.

Coumarin and some of its derivatives having m.p.s. lower than 70-100°C have been generally found to possess strong anthelmintic action.³⁹ An examination of a number of simple coumarin derivatives employing fish and the turning times as a measure of toxicity has now established that they have weak toxic properties.^{40,41} While many natural coumarins particularly those with furan ring system are toxic to fish.⁴²

In recent years the discovery of photodynamic action of some of the furocoumarins has led to considerable work in this field.⁴³

Perhaps of the greatest fundamental biochemical interest is the photosensitizing effect on cells of certain linear furocoumarins, which is intimately associated with their cross-linking of the strands of DNA.

The effect of the oestrogenically active 3-phenylcoumarin, coumestrol (a coumestan) (23) has been studied in the uterus of the ovariectomized rat.⁴⁴ This coumarin as its diacetate, stimulated the incorporation of (2-¹⁴C) glycine into protein and of (³²P) orthophosphate into RNA, more than two fold in vitro. They have pointed out that coumestrol (23) contains phenolic groups which may satisfy the configurational and electrostatic requirements for an oestrogenic molecule.

In plants, coumestrol (23) inhibited ATP formation in cucumber hypocotyls.^{45,46} The photochemical interaction between xanthyletin (24) and DNA was examined by Dall'Acqua et al.⁴⁷ A weak molecular complex was formed in the dark and a covalent complex was formed at a low value when irradiation at 365 nm ensued. Xanthyletin (24) was moderately active in inhibiting nucleic acid synthesis in Ehrlich ascites tumor cells, inactivating phage T, and killing *Escherichia coli* cells. Steric hindrance from the methyl groups evidently impedes intercalation with DNA.

In lettuce⁴⁸ and cucumber⁴⁶ mitochondria oxidative phosphorylation is inhibited by coumarin with a reduction in the P:O ratio. It has been found that compounds with

oxypropanolamide side chain are blocking agents and known to have antihypertensive activity.⁴⁹⁻⁵¹

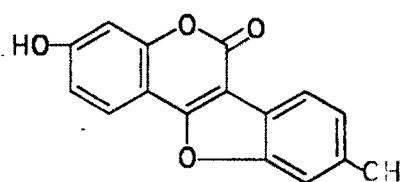
Recent studies have provided some indication of antitumour activity by simple coumarins. Coumarin itself has been reported to be a moderately potent inhibitor of chemical carcinogen induced neoplasia⁵² and micromelin (25), mammein (26) and several related coumarins have antitumour activity.^{53,54} Notable among the physiological effects exerted by coumarins are the acute hepatotoxicity and carcinogenicity of certain aflatoxin and anticoagulant action of dicoumarol (14) and the antibiotic activity of novobiocin (15) and coumermycin (16).

A few studies have been published on the effect of coumarins on nucleic acid metabolism in higher plants.⁵⁵⁻⁵⁷ It appears therefore that coumarins can intervene in plant metabolism at the level of RNA synthesis.

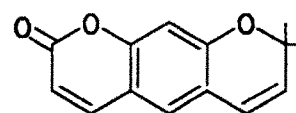
Many enzymes reported to have been affected by coumarins. For example, the inhibition of tryptophan 5-hydroxylase by aesculetin (3) appears largely due to the presence of the vicinal hydroxyl groups, which may complex as essential metal ion cofactor.⁵⁸ In a number of other cases, attention has been devoted to elucidation of the structural features responsible for the observed effects, most notably by a czechoslovakian group. Ostrathin (6-geranyl-7-hydroxy coumarin)(27) inhibited, succinate oxidase.⁵⁹ Here the isoprenoid sidechain is apparently necessary as umbelliferone (2) was without inhibition 4-hydroxycoumarin did inhibit. Dihydroxy derivatives were more effective than monohydroxy ones, especially when occupying vicinal position. Zboril et al⁶⁰ suggested that a reductive as well as chelating effect of this grouping could form the basis of the dihydroxycoumarin inhibitory effects.

UV SPECTROSCOPY

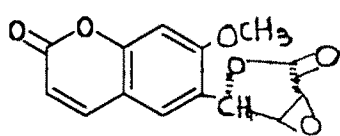
UV absorption spectra are useful for distinguishing coumarins from chromones. Chromones have a strong absorption at 240-250 nm ($\log \epsilon$ 3.8) whereas coumarins have a minimum at this wavelength. Alkyl coumarin shows absorption bands at 274 and 311 nm ($\log \epsilon$ 4.03 and 3.72) which are due to the benzene and pyrone rings respectively.⁶¹ Substitution of methyl group at C-3 leads to a small hypsochromic shift in the 311 nm maximum, leaving the other maximum unchanged. Methyl substitution at C-5, C-7 or C-8



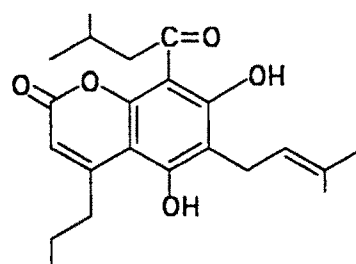
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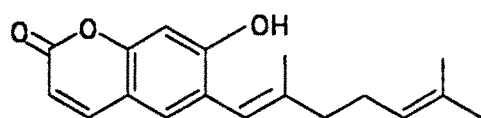
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(27)

leads to a bathochromic shift of the 274 nm maximum but leaves the 311 nm maximum practically unchanged.

The introduction of a hydroxyl group into the coumarin nucleus causes a bathochromic shift of the principle absorption band. The position of new maximum depends on the ability of the hydroxyl group to conjugate with the chromophoric system.

UV spectroscopy and the spectral changes induced by the addition of acid and alkali are particularly useful in deducing the orientation of the acyl groups in mammea-type 4,6,8-trisubstituted 5,7-dihydroxycoumarins. The acyl groups may be at C-6 or C-8 lead to different UV base shifts.⁶²⁻⁶⁴ In the 4-alkyl series, for example the long-wavelength band at ~325 nm in the spectrum of a 6-acyl coumarin undergoes a large bathochromic shift to ~400 nm on addition of alkali. In contrast the spectrum of a an 8-acyl coumarin shows only a small bathochromic shift and replacement of the absorption near 290 nm with a weaker absorption near 260 nm. Related 4-phenyl coumarin behave in the same fashion⁶⁵

IR SPECTROSCOPY

The pyrone-carbonyl stretching frequency of a coumarin is usually found in the region 1750-1700 cm^{-1} .^{66,67} The exact value depends to a large extent on the conditions used for recording the spectrum. There are normally three strong absorption bands in the region 1660-1600 cm^{-1} , due to C=C skeletal vibration in the IR spectra of coumarins which differentiates it from isomeric chromones, the absorption of which is generally much simpler.⁶⁸ Compounds with methoxyl groups show bands in the region 1272 - 1237 cm^{-1} .

NMR SPECTROSCOPY

^1H NMR technique has been applied to the structural elucidation of naturally occurring coumarins. The important ^1H NMR spectra-structure correlation studied by Steck and Hazurek⁶⁹ and others which is relevant to present work has been briefly described here.

RING PROTON ANALYSIS

Observations of a pair of doublets, $J=9.5\text{Hz}$ centered at δ 6.1-6.4 and δ 7.5-8.3 in the ^1H NMR spectrum of a natural product strongly indicates a coumarin unsubstituted in the pyrone ring. These characteristic signals arise from the C-3, H and C-4, H protons respectively of coumarin ring.

Oxygen function at C-7 which by electron release leads to an increase in the electron density at C-3 compared to unsubstituted coumarin, there by causing the resonance of C-3, H, to move higher field.⁷⁰⁻⁷² Oxygen function at C-5 has a similar, though smaller, effect since this involves a less favourable orthoquinonoid electronic distribution.⁷⁰ The C-4, H resonance is found in the region δ 7.5-7.9 in coumarin lacking a C-5 oxygen function.⁶⁹ An oxygen or alkyl substitution at C-5, however, characteristically shifts the resonance of C-4, H downfield (the peri effect).⁷³⁻⁷⁵ C-4 H, now being found at δ 7.9-8.2

When either C-3 or C-4 is substituted the ^1H NMR spectrum can still provide a useful method for establishing the positions of substitution from the chemical shift of the remaining singlet. C-3, H resonates at δ 6.15 with a methyl group at C-4,⁷⁶ at δ 6.0 for a 4-aryl coumarin.⁷⁷ On the other hand C-4, H appears at δ 7.65 when there is an alkyl group at C-3 and C-5 is unsubstituted,⁷⁸ but at δ 7.95 when there is an oxygen substituent at C-5.⁷⁹ In ethers of 7-hydroxycoumarins, the doublets, $J=9.5\text{ Hz}$, arising from C-3, H and C-4, H are found centered at δ 6.23 and δ 7.64.

Many 7-oxygenated coumarins are known with alkyl or alkoxy groups at C-8, the signal from C-5, H is found at δ 7.3, downfield from the C-6, H resonance at δ 6.8

Ring Substituents

Aromatic methoxyl groups normally resonate in the range δ 3.8-4.4 and aromatic methyl groups at δ 2.45-2.75.^{76,80,81}

^{13}C NMR SPECTROSCOPY

With the availability of Fourier - transform methods and computer development, ^{13}C spectroscopy has become a sensitive and powerful tool in the structural elucidation of natural products. A number of publications have appeared in which complete assignment

of ^{13}C chemical shifts have been presented for hydroxy and methoxycoumarins,⁸²⁻⁸⁴ and also for furanocoumarins.⁸⁵ The chemical shift for simple coumarin are as follows : C_2 160.4, C_3 116.4, C_4 143.6, $\text{C}_{4(a)}$ 118.8, C_5 128.1, C_6 124.4, C_7 131.8, C_8 116.4 and C_{8a} 153.8.

ELECTRON-IMPACT MASS SPECTROSCOPY

Considerable interest has been shown in the mass spectrometry of natural products. Fragmentation patterns resulting from electron impact of many natural coumarins have been determined and rationalized and have proved to be of great assistance in structural studies.⁸⁶⁻⁸⁹ High resolution mass spectrometry in particular has become increasingly used for the determination of molecular formula by accurate measurement of the molecular ion.

The mass spectra of coumarins are characterised by intense molecular ion peak indicating stable heterocyclic ring system. Coumarin gives a strong molecular ion (M^+ , m/z 146, 76%) on electron impact and a base peak (m/z 118, 100%) 28 mass units lower. The latter ion formed directly from the molecular ion by the loss of carbon monoxide from the pyrone ring resulting a molecular ion benzofuran, which further losses consecutively CO and hydrogen atom. (Scheme 1).

This kind of fragmentation is normally observed in coumarins with the exception 4-hydroxy coumarin where the characteristic loss of CO is absent, instead a loss of $\text{C}_2\text{H}_2\text{O}$ probably ketene is observed. The molecular ion forms the base peak in the spectrum of 7-methoxycoumarin and a strong $(\text{M}-\text{CO})^+$ ion is also present. Loss of a methyl radical from the methyl group then intervenes to provide the conjugated oxonium ion (m/z 133), but this only occurs after the molecular ion has been converted to the 6-methoxy benzofuranion (Scheme 2).

The spectra of 6,7-dimethoxycoumarin shows an intense $(\text{M}-15)^+$ peak. The higher abundance (46%) of the $(\text{M}-15)^+$ ion at m/z 191, relative to that (26%) of the more usual $(\text{M}-\text{CO})^+$ species at m/z 178, clearly demonstrates the facile fragmentation of the C-6 methoxyl function.

INDUSTRIAL APPLICATIONS

Although simple coumarin has a very low fluorescence quantum yield, many natural coumarins and synthetic derivatives are highly fluorescent and have high quantum yields. Synthetic coumarins have been used extensively as fluorescent brightening agents in detergents, paper and textiles to mask yellowing in white materials. 7-(2'-benzoxazolyl)-3-phenylcoumarin⁹⁰ and 2-(3'-coumarinyl)-benzoxazoles⁹¹ have been reported to be optical brighteners for polyesters, polyamides and polyvinylchloride. 2-(3'-coumarinyl)-naphthoxazole with a dialkylamino substituent in 7-position of coumarin ring exhibit brilliant fluorescence with absorption in the visible range and are useful for the dyeing of organic fibers.⁹² A recent application of coumarin fluorescence is in the field of tunable dye lasers.⁹³ Reddy⁹⁴ and others have reported synthesis of 3-heterarylcoumarins as optical brighteners.

There are various methods for the synthesis of coumarin derivatives and they have been reviewed by Sethna and Shah⁹⁵ and by Wawzonek⁹⁶ and need not be enumerated here.

The coumarin derivatives have also been subjected to various substitution reactions such as chlorination,⁹⁷⁻¹⁰⁰ bromination,¹⁰¹⁻¹⁰⁹ iodination,^{110,111} chloromethylation,¹¹² nitration,¹¹³⁻¹¹⁸ Fries and Friedel-Crafts reactions,¹¹⁹⁻¹²⁴ formylation,¹²⁵⁻¹²⁹ sulfonation¹³⁰⁻¹³² and other reactions.

The present work was undertaken with a view to study three aspects of coumarins, namely synthesis, characterisation and screening of coumarin derivatives in search of potent biologically active compounds.

The first chapter, which is the general introduction, deals with historical account of coumarins. It includes a brief survey of their various biological activities, spectroscopic methods for elucidation of structure of coumarin derivatives, methods of synthesis and chemical reactions.

In the first part of Chapter - II, the synthesis of Mannich bases by condensing bromomethyl derivative of coumarin with various amines have been described. The structures of the aminomethyl compounds have been established on the basis of satisfactory elemental analysis, IR, PMR and Mass spectra.

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